PTO/P Rect 0.5 AUG 2002

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:

Applicant:

Jürg Nuesch, et al.

Application No.:

10/069,056

Date Filed:

February 11, 2002

Title:

PARVOVIRUS NS 1

VARIANTS

Docket No.:

4121-136

503

Confirmation No.:

9054

PATENT & TRADEMARK OFFICE

EXPRESS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, and Express Mailed under the provisions of 37 CFR 1.10.

Katrina Holland

July 29, 2002

Date

EL 544952159 US

Express Mail Label Number

RESPONSE TO MAY 30, 2002 NOTIFICATION OF MISSING REQUIREMENTS IN U.S. PATENT APPLICATION NO. 10/069,056

Commissioner for Patents

Box PCT

Washington, D.C. 20231

Attention: National Stage Processing

Sir:

In response to the May 30, 2002 Notification of Missing Requirements in above-identified U.S. patent application, enclosed and submitted herewith is a Declaration and Power of Attorney executed by the inventors, together with the delayed filing fee of \$65.00. A check in such amount payable to Commissioner of Patents and Trademarks is enclosed herewith. Please charge any deficiency in payment, and credit in the access payment, to Deposit Account No. 08-3284 of Intellectual Property/Technology Law.

08/13/2002 GFREY1

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Respectfully submitted,

Marianne Fuierer Reg. No. 39,983 Attorney for Applicants

INTELLECTUAL PROPERTY/ TECHNOLOGY LAW P. O. Box 14329 Research Triangle Park, NC 27709 Phone: (919) 419-9350 Fax: (919) 419-9354 Attorney File: 4121-136

United States Patent and Trademark Office

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Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C., 20231

APPLICATION NUMBER NO TITY. DOCKET NO. 10/069,056 Jurg Nuesch 4121-136 INTERNATIONAL APPLICATION NO. PCT/EP00/07835 Marianne Fuierer I.A. FILING DATE PRIORITY DATE Steven J Hultquist 08/11/2000 Intellectual Property Techology law .WN .. 3 P O Box14329 Research Triangle Park, NC 27709 **CONFIRMATION NO. 9054**

371 FORMALITIES LETTER

OC00000008198218

Date Mailed: 05/30/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

U.S. Basic National Fees

- Indication of Small Entity Status
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

Response Dry 7/36/00

FINANCE SECT

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Öath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions



of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
 - For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.
- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
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| 10/069,056 | PCT/EP00/07835 | 4121-136 |

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